

# Glossary – Investment Funds

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## Table 1 - Assets

### ASSET CLASS

- A grouping of investments that have similar characteristics (e.g., Equity, Property, Infrastructure, Fixed Interest, etc).
- More detail on individual Asset Classes can be found in the Asset Classes Sections 3 and 5 of this Glossary.

### INTERNALLY MANAGED OR EXTERNALLY MANAGED

- This column displays whether the asset is managed by the team at Aware Super or by an external manager.

*This column is blank for listed investments as full holdings are displayed regardless of whether they are managed internally or externally.*

### NAME OF INSTITUTION

- This column displays the name of institutions that hold the cash for the Investment Option (e.g., Australia and New Zealand Banking Group Limited - ANZ).

*Column populated for the Cash asset class.*

### NAME OF ISSUER / COUNTERPARTY

- When Aware is the lender in a transaction, the counterparty (or issuer) is the other side of the transaction.

*Column populated for Internally Managed Fixed Income investments.*

### NAME OF FUND MANAGER

- This column displays the name of the external fund manager responsible for managing the asset.

*Column populated for Externally Managed: Fixed Income, Unlisted Equity, Unlisted Property, Unlisted Infrastructure, and Unlisted Alternatives investments.*

### NAME / KIND OF INVESTMENT ITEM

- This column displays the name of the investment.

*Column populated for all listed asset classes as well as Internally Managed: Unlisted Equity, Unlisted Property, Unlisted Infrastructure, and Unlisted Alternatives investments.*

### CURRENCY

- These currency codes are the three-letter codes that represent the various currencies used throughout the world. E.g., AUD is the currency code for the Australian Dollar.

*Column populated for the Cash asset class.*

### COUNTRY

- This column shows the Country of domicile for the investment

*Column populated for listed asset classes.*

### SECURITY IDENTIFIER

- In your download the security identifier used is a stock market ticker (or “market code”). This is the code given to identify a listed stock and the stock market that it is traded on (e.g. ANZ AU would be the code for the ANZ Bank trading on the Australian Market).

*Column populated for listed asset classes.*

### ADDRESS

- Like your home address, it is used to give the location of a building, apartment, or other structure or a plot of land.
- Where multiple addresses are needed, the website address has been included for members to view.

*Column populated for Internally Managed Unlisted Property investments.*

### % OWNERSHIP

- Unlisted Equity/Infrastructure
  - This refers to how much of the total investment is owned by Aware Super in percentage terms (e.g., 50% would mean half of the investment is owned internally and the other half would be owned by external investors).
- Unlisted Property
  - This refers to how much of the total property investment is owned by Aware Super in percentage terms (e.g., 50% would mean half of the investment is owned internally and the other half would be owned by other investors).

*Column populated for Internally Managed Unlisted: Equity, Property, and Infrastructure.*

### UNITS HELD

- A company share, these represent a portion ownership in a corporation or fund.
- There are a limited number of units available at any time for each stock.
- The number provided shows the number of units owned by the Investment Option.

*Column populated for listed asset classes.*

### VALUE (AUD)

- The net market value of the investment shown in Australian dollars.

### WEIGHTING (%)

- The percentage of the Investment Option that the selected investment makes up in terms of Market Value.

## 1. Table 2 - Derivatives by kind of derivative

### SWAPS

- A derivative contract through which two parties exchange the cash flows or liabilities from two different financial instruments.

### FORWARDS

- A Forward contract is a contract between two parties to buy or sell an asset at a specified price on a future date. Forward contracts are often used to hedge currencies.

### FUTURES

- A Futures contract is a contract to buy or sell a particular commodity, asset, or security at a predetermined price at a specified time in the future. Futures are often used to manage liquidity in a portfolio.

### OPTIONS

- A Derivative that gives buyers the right, but not the obligation, to buy or sell an underlying asset at an agreed-upon price and date.

### FUTURES CASH OFFSET

- Catch all bucket for managing asset allocations through the use of derivatives, this includes cash backing for futures derivatives.

### OTHERS

- Other derivatives that do not fit into the above categories e.g., Warrants.

## 2. Asset Classes

### FIXED INCOME - INTERNALLY MANAGED

- Fixed Income investments (such as bonds and loans) that are managed internally at Aware Super.

### FIXED INCOME (PRIVATE DEBT) - INTERNALLY MANAGED

- Fixed Income investments that involve bilateral and syndicated loans to private companies that are managed internally at Aware Super.

### FIXED INCOME - EXTERNALLY MANAGED

- Fixed Income investments (such as bonds and loans) that are managed by an external fund manager.

### LISTED EQUITY

- Equity listed on a stock exchange such as the ASX or NYSE.
- This section includes both internally and externally managed investments.

### UNLISTED EQUITY - INTERNALLY MANAGED

- Equity not listed on a stock exchange and managed internally by Aware.
- This can include assets such as Private Equity.

### UNLISTED EQUITY - EXTERNALLY MANAGED

- Equity that is not listed on a stock exchange and is managed by an external manager.

- Private Equity is included in this asset class, but this asset class is not limited to Private Equity only.

#### *LISTED PROPERTY*

- Property listed on a stock exchange such as the ASX or NYSE.
- This section includes both internally and externally managed investments.

#### *UNLISTED PROPERTY - INTERNALLY MANAGED*

- Property investments that are not listed on a stock exchange and are managed internally by Aware Super.

#### *UNLISTED PROPERTY - EXTERNALLY MANAGED*

- Property investments that are not listed on a stock exchange and are managed by an external manager.

#### *LISTED INFRASTRUCTURE*

- Infrastructure listed on a stock exchange such as the ASX or NYSE.
- Internally and externally managed.

#### *UNLISTED INFRASTRUCTURE - INTERNALLY MANAGED*

- Infrastructure investments that are not available on a public exchange that Aware Super also manages internally.

#### *UNLISTED INFRASTRUCTURE - EXTERNALLY MANAGED*

- Infrastructure investments that are not available on a public exchange and are managed by an external manager.

#### *LISTED ALTERNATIVES*

- Alternative investments listed on a stock exchange such as the ASX or NYSE.
- This section includes both internally and externally managed investments.

#### *UNLISTED ALTERNATIVES - INTERNALLY MANAGED*

- Alternative investments that are not available on a public exchange that Aware Super also manages internally (e.g., convertible notes).

#### *UNLISTED ALTERNATIVES - EXTERNALLY MANAGED*

- Alternative investments that are not available on a public exchange and managed by an external manager.

### 3. Other

#### *DERIVATIVE*

- Derivatives are financial instruments that derive their value from an agreed upon underlying asset, group of assets, or benchmark.

#### *LISTED*

- Represents financial instruments traded through an Australian or international stock exchange.

#### *UNLISTED*

- Represents financial instruments not traded through an Australian or international stock exchange.